# NIH Research Performance Progress Report (RPPR) Lifespan Office of Research Administration

The Office of Management and Budget (OMB) has mandated that federal agencies implement a federal-wide Research Progress Report (RPPR) for submission of required annual or other interim performance reporting on research grants and cooperative agreement awards to standardized recipient reporting on federally-funded research projects.

## NIH Announcement of Research Performance Progress Report (RPPR)

- The NIH RPPR replaces eSNAP progress reports for Streamlined Non-competing Award Process (SNAP) and Fellowship Awards in eRA Commons <a href="http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-160.html">http://grants.nih.gov/grants/guide/notice-files/NOT-OD-12-160.html</a>.
- Progress reports submitted for SNAP and Fellowship Awards with budget start dates on or after July 1, 2013, must be submitted using the eRA RPPR Commons Module.
- Other PHS agencies that utilize the NIH RPPR are the FDA, CDC, and AHRQ. These agencies may have different requirements and implementation dates.

### Implementation of the RPPR

- Use of RPPR is OPTIONAL at this time; however, Lifespan ORA encourages PIs to use RPPR before NIH mandates use of RPPR. PIs must be compliant with their my NCBI account and PubMed Central (see next section below).
- Before PIs choose RPPR, it is important that they read the <u>NIH RPPR Instruction Guide</u>.
  Once a progress report has been initiated, the only way to change the progress report format is with the assistance of the eRA Help Desk. It may take eRA up to 2 business days to reset the progress report so the user can initiate a progress report in a different format.
- What is the "recipient ID" field on the RPPR Cover Page at A.4.? Please type the Lifespan Responsibility Center Number in this field.
- The NIH RPPR is not used for submitting a Final Progress Report; instructions for submitting a Final Progress Report are at <a href="http://grants.nih.gov/grants/funding/finalprogressreport.pdf">http://grants.nih.gov/grants/funding/finalprogressreport.pdf</a>.

### RPPR link to My NCBI Account and PubMed Central

- NIH advises PIs to use their Commons-linked My NCBI account to track compliance for their publications now, and to ensure all publications arising from their awards are posted to <u>PubMed Central</u> in accordance with the NIH Public Access Policy <a href="http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-020.html">http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-020.html</a>.
- The RPPR requires PIs to report publications using their My NCBI account.
- The system will allow the RPPR to be submitted with a non-compliant publication.
  However, the system will provide a Warning message and will generate an
  automated email to the PI (with cc to the AO and SO) that the progress report
  includes citations that are out of compliance with the public access policy and

- requesting a response by a specified due date two weeks prior to the next budget start date.
- PI or delegate initiates the response via a Progress Report Additional Materials (PRAM) link, routes to AO, and SO submits. The link provides a text box in which the PI may respond through the eRA Commons.
- PIs using email must forward to AO, who will review and forward to SO, who will respond to the Grants Management Specialist and Program Officer.
- The non-competing continuation award will be delayed until a reply to the enotification is received from the grantee with <u>evidence of compliance</u> or a satisfactory explanation.
- For information on how to use My NCBI for public access compliance tracking, see:
  - Get Started with My NCBI: Access My NCBI, Register, and Sign In
  - Manage Compliance with the NIH Public Access Policy in My NCBI
  - <u>Use a Delegate to help manage your My NCBI account and public access compliance</u>
  - Using My NCBI to associate your papers with your PD/PI's award

# Procedural Change for <u>Paper</u> Progress Reports (PHS 2590) – My NCBI/My Bibliography

- PIs are encouraged to begin using a My NCBI/My Bibliography generated PDF list of publications as part of their paper PHS 2590 progress report.
- These PDF reports will be required as part of their **paper** PHS 2590 progress report at the time the RPPR is required for all SNAP and F Awards (*budget start dates on or after* July 1, 2013).
- For more information and details regarding this change/process please review the notice http://grants.nih.gov/grants/guide/notice-files/NOT-OD-13-017.html .

#### Resources

- RPPR Website
- Learn how the RPPR differs from eSNAP
- NIH RPPR Instruction Guide (PDF 1 MB)
- Screen Shots of the RO1-like RPPR (PDF 1.7 MB)
- Frequently Asked Questions
- RPPR Training for Grantees Webinar October 17, 2012 (1 hr 47 mins)
- RPPR Training for Grantees Powerpoint October 17, 2012 (PPT 1.3 MB)
- PubMed Central® (PMC)

If you have any questions, please contact your <u>Grants and Contracts Research Administrator</u>. Lifespan Office of Research Administration